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# An Automatic and Subject-specific Method for Locus Coeruleus Localization and BOLD Activity Extraction

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## Synopsis

The locus coeruleus (LC) is a small nucleus in the brainstem whose function is integral to regulating cognitive arousal. Despite the LC's importance in cognitive processing, localizing it in functional space is challenging. Here, we propose a method that uses both an atlas and subjects' neuromelanin-sensitive MRI images to estimate LC BOLD activity. We show that the LC BOLD extracted with our method is more strongly correlated with trial-to-trial variability in baseline pupil diameter than comparable approaches, an important metric since non-luminance changes in pupil diameter have been shown to covary with LC electrophysiological recordings in non-human primates.

#### Introduction

With broad projections to the cortex, the locus coeruleus (LC) has been implicated in arousal, attention, task performance and exploration behaviors<sup>1</sup>. In most fMRI studies, LC localization is based on a functional activation map<sup>2, 3</sup>, a predefined atlas<sup>4, 5</sup> or both<sup>6</sup>. Since the LC is a small brainstem nucleus surrounded by other nuclei, these methods lack specificity in the anatomical evidence of the nucleus' location<sup>7</sup>, and tend to ignore individual variability in the LC's shape and location<sup>8</sup>. To address this, some studies perform manual segmentation based on neuromelanin-sensitive Turbo Spin Echo (TSE) images<sup>9, 10</sup>. TSE images tend to localize the LC more accurately, although the images need to be manually inspected on a subject-by-subject basis. Here, by using both subject-specific TSE images and a predefined atlas, we develop an automatic localization method to extract BOLD activity in the LC. Given substantial evidence that pupil diameter and LC covary with one another<sup>1, 3, 11, 12</sup>, we evaluated the quality of our results and compared them to alternative approaches by computing the trial-to-trial correlation between the extracted LC BOLD activity and simultaneously recorded pupil diameter.

#### Methods

For LC localization and BOLD activity extraction, we used a combination of a predefined LC atlas<sup>13</sup> and subject-specific TSE images to determine the spatial distribution of the LC in subjects' structural space, and then performed a more precise localization in functional space. Specifically, the LC atlas includes both one standard deviation (SD) and two SD estimates of the peak LC signal in standard space, and can be used as a template to map the spatial location of the LC in the brainstem. We first transformed the atlas to the structural space<sup>14</sup>, denoting these 1SD and 2SD LC masks as  $M_{1SD}$  and  $M_{2SD}$ . We denote the TSE images as  $I_{TSE}$ . Next as shown in Fig. 1, and contrary to other studies<sup>3, 4, 6</sup> which localized LC in standard space, we first identified the spatial distribution of the LC in the structural space in order to eliminate resampling of the fMRI signal. As the LC shows a hyperintensity in TSE images<sup>15</sup>, voxels within the LC structure exhibit high intensity compared to voxels which are outside of the nucleus. We used subject-specific  $I_{TSE}$  alongside  $M_{1SD}$  and  $M_{2SD}$  to develop a quantitative criterion. This criterion compares the intensities of voxels,  $I_{TSE}$ , in the LC mask and intensities of voxels in the vicinity of the mask. This localization strategy results in three outcomes, summarized in Fig. 1.

After identifying the spatial distribution of LC in the structural space, we fine-tuned the localization of LC in functional space by transforming  $I_{TSE} \odot M_{1SD}$ ,  $I_{TSE} \odot M_{2SD}$ , or  $M_{1SD}$  to functional space, respectively for the three outcomes given in Fig 1. We included both two-voxel and six-voxel versions of the LC in functional space. Voxel intensity within these fine-tuned LC masks were then normalized to represent the probability of each voxel being inside the LC structure. These probability maps were then applied to BOLD signal at LC, and produced weighted average LC BOLD signals. Signals in the two-voxel and six-voxel LC masks are denoted as core-LC and entire-LC BOLD, respectively.

## **Experiments and Results**

Nineteen subjects performed an auditory oddball task inside the scanner, with their pupil diameter recorded simultaneously. A 3T Siemens Prisma scanner was used to acquire T1w (TR=2300ms; TE=3.95ms; voxel size 1x1x1mm; Matrix Size=176x248x256), T2\*w EPI (TR=2100ms; TE=25ms; voxel size 3x3x3mm; Matrix Size=64x64x42; 150 volumes; 3 to 5 runs), single-volume high-resolution EPI (TR=6000ms; TE=30ms; voxel size 2x2x3mm; Matrix Size=96x96x42) and neuromelanin-sensitive MRI TSE (TR=600ms; TE=14ms; voxel size 0.43x0.43x6mm; Matrix Size=416x512x5) images. The fMRI data were processed using FSL<sup>16</sup> with preprocessing pipeline shown in Fig. 2(a) and inter-modality registration as in Fig. 2(b)<sup>17, 18</sup>. MR-compatible EyeLink 1000 Plus in Long Range Mount was used for pupillometry, with pupil diameter preprocessed as in <sup>19</sup>.

LC BOLD activity extraction was evaluated by correlating LC BOLD signal to trial-to-trial variability of baseline pupil diameter (PDB) and pupillary response (PR) (see Fig. 3(a)). Correlation analyses were carried out using a general linear model (GLM), with PDB and PR as the explanatory variables, and LC BOLD as the response variable. On the group level, we used a one sample t-test to determine if parameter estimates were significantly different than zero. As shown in Fig. 3(b), BOLD activity extracted from core-LC with our method has a higher correlation with trial-to-trial variability of PDB (t = -3.499; p < 0.003) compared to activity extracted with an atlas-based method (t = -2.378; p < 0.029) or a local maximum-based method (t = 1.476; p > 0.157).

## **Discussions and Conclusions**

We developed a method that uses both subject-specific TSE images and a predefined atlas to automatically localize and extract BOLD activity from the LC. Using correlation to pupil dynamics as a metric, we compared our method to competing approaches. We found that core-LC BOLD activity extracted with our method had a stronger and more significant correlation with trial-to-trial variability of baseline pupil diameter. Given the substantial interest in how the LC modulates cortical brain dynamics to affect human cognition, we believe our approach would have utility for those interesting in relating LC BOLD to cortical dynamics and behavior.

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#### **Figures**



**Figure 1.** LC localization using a predefined LC atlas and the TSE image of each subject. In T1w structural space, we use a criterion (Student's t-test) to determine a coarse LC location. Then, either TSE intensities in the LC mask or an LC atlas is transformed to EPI functional space for a more precise localization (using trilinear interpolation). The result is one of three possible outcomes: 1) we localize the LC structure within  $M_{1SD}$ ; 2) we localize the LC structure within  $M_{2SD}$ ; 3) we localize the LC structure with the predefined LC atlas (i.e., without using any information from  $I_{TSE}$ ).



Figure 2. (a) fMRI data preprocessing and nuisance signal regression. The middle time point EPI volume was used as the reference in motion correction. No spatial smoothing was applied. Motion parameters include 6 standard head motion parameters, their temporal derivatives, and the squares of the above 12 motion parameters. (b) Intra-subject inter-modality image registration.



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Figure 3. (a) GLM to estimate and test the contributions of PDB and PR to LC BOLD activity (controlling for the variance due to the presence of stimuli). Trial-to-trial variabilities of PDB and PR ( $V_{PDB}$  and  $V_{PR}$ ) were modeled as boxcar functions with the amplitude of each trial modulated by the pupil measurements. The boxcar functions were convolved with a canonical double-gamma hemodynamic response function before fitting into the GLM. (b) Group level statistical analysis in testing regression weights against zero. \*p < 0.05; \*\*p < 0.01.

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